

Empire Life Applications and Forms Guide

Use this guide to make sure you are using the most current applications and forms.

All forms can be downloaded in PDF format from our advisor website <https://www.empire.ca/advisor/forms>

Life & Health Applications	Form #	Version Date	Important Information
Life and Health Insurance Application	D-0082	06/20	
Policy Change and/or Reinstatement Application	C-0048	05/20	
Conversion Application	INS-872	06/20	Online only
Application for Policy Split	N/A	01/18	Online only
Whole Life Insurance Application	INS-2279	11/18	Online only
Guaranteed Life Protect Insurance Application	INS-2281	11/18	Online only

Investment Applications & Contract Provision Folders	Form #	Version Date	Important Information
Single Premium Immediate Annuity Application	N/A	06/17	Online only
Empire Life Guaranteed Interest Contract (GIC) Application	INV-908	06/17	Online only
Empire Life Guaranteed Interest Contract (GIC) Application for a Nominee Account	INV-909	06/17	Online only
Empire Life Guaranteed Interest Contract (GIC) Application for a Tax-Free Savings Account (TFSA)	INV-910	06/17	Online only
Application for Empire Life Guaranteed Investment Funds (Empire Life GIF)	INV-911	07/20	
Empire Life Guaranteed Investment Funds (Empire Life GIF) Application for a Nominee/Intermediary Account	INV-912	07/20	Online only
Empire Life Guaranteed Investment Funds (Empire Life GIF) Application for a Tax-Free Savings Account (TFSA)	INV-913	07/20	
Class Plus 3.0 Application	INV-917	07/20	
Class Plus 3.0 Application for a Nominee/Intermediary Account	INV-918	07/20	Online only
Class Plus 3.0 Application for a Tax-Free Savings Account (TFSA)	INV-919	07/20	
Fund Facts for Empire Life GIF 75/75, 75/100,100/100 Contracts	INV-941	05/20	
Fund Facts for Empire Life Class Plus 3.0	INV-1754	05/20	
Empire Life Guaranteed Investment Funds 75/75, 75/100 and 100/100 Information Folder and Contract Provisions	INV-943	03/20	
Empire Life Class Plus 3.0 Information Folder and Contract Provisions	INV-1755	03/20	

Key Forms	Form #	Version Date	Use this form:
Spousal Declaration form	N/A	04/12	For declaration of no spouse (locked-in plans)
International Tax (FATCA) Self Certification Form	N/A	12/19	To be used for compliance with Foreign Account Tax Compliance Act (FATCA)
Corporation/Other Entity Owner Supplement	C-0044	06/19	To provide ownership details for a policy/contract Owner that is a corporation, trust, partnership or other entity
Transfer of Ownership and Appointment of Contingent/successor Owner or subrogated policyholder	C-0050	04/20	To change ownership and/or change/appoint a contingent/successor Owner or subrogated policyholder (in Quebec)
Pre-Authorized Debit (PAD) Authorization	C-0170	12/19	For life and health policies to start PAD, change PAD instructions or change banking information (see INP-125 form for investment PAD changes)
Verification of Identity of Owner(s)/Determination of Politically Exposed Persons and Third Party Interests	D-0011	04/20	To verify the identity of an individual Owner and confirm determination of Politically Exposed Persons and third party interests
Beneficiary Designation Form	D-0017	03/20	To name or change the beneficiary on an existing policy or as a supplement to a new application
Limited Trading Authorization	INP-0002	07/14	To add LTA for the advisor on file
Investment Change Form for Class, Class Plus, Class Plus 2, Class Plus 2.1, Class Plus 3.0 and Empire Life GIF Contracts)	INP-125A	03/20	On a Class, Class Plus, Class Plus 2, 2.1, 3.0 or Empire Life GIF contract to: <ul style="list-style-type: none"> • change investment instructions • start or change PAD details • provide deposit instruction • make a full, partial or scheduled withdrawal • make a switch or set up a scheduled switch • provide maturity and death benefit guarantee reset instructions
Investment Change Form - Guaranteed Interest Contract (GIC)	INP-125B	05/18	On a GIC contract to: <ul style="list-style-type: none"> • change investment instructions • start or change PAD details • make a full, partial or scheduled withdrawal
Investment Change Form - Elite & Elite XL Policies	INP-125C	05/18	On a Elite/Elite XL policy to: <ul style="list-style-type: none"> • change investment instructions • make a transfer or set up a scheduled transfer • make a full, partial or scheduled withdrawal • start or change PAD details • provide deposit instruction • request a maturity and death benefit guarantee reset
RRSP to RRIF Conversion for Class, Class Plus, Class Plus 2, Class Plus 2.1, Class Plus 3.0 and Empire Life GIF Contracts	INP-130A	10/18	To convert an RRSP to a RRIF for a Class, Class Plus, Class Plus 2, 2.1, 3.0 or Empire Life GIF contract
RRSP to RRIF Conversion for Guaranteed Interest Contracts (GIC)	INP-130B	10/18	To convert an RRSP to a RRIF for a GIC contract
RRSP to RRIF Conversion for Elite & Elite XL Policies	INP-130C	10/18	To convert an RRSP to a RRIF for an Elite/Elite XL policy

Key Forms	Form #	Version Date	Use this form:
TIK Transfer form for Class, Class Plus, Class Plus 2, Class Plus 2.1, Class Plus 3.0 and Empire Life GIF Contracts	INP-135A	07/20	To transfer in kind (TIK) a Class, Class Plus, Class Plus 2, Class Plus 2.1, Class Plus 3.0 or Empire Life GIF contract
TIK Transfer form for the Elite and Elite XL Policies	INP-135C	05/15	To transfer in kind (TIK) an Elite/Elite XL policy
Transfer Authorization for Class, Class Plus 2, Class Plus 2.1, Class Plus 3.0 and Empire Life GIF Contracts	INV-950A	10/17	To transfer funds from another institution to an Empire Life Class, Class Plus 2, Class Plus 2.1, Class Plus 3.0 or Empire Life GIF segregated fund contract
Transfer Authorization for a Guaranteed Interest Contract (GIC)	INV-950B	06/16	To transfer funds from another institution to an Empire Life GIC contract
Transfer Authorization for an Elite or Elite XL Policy	INV-950C	06/16	To transfer funds from another institution to an Empire Life Elite or Elite XL policy